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22387 U.S. PTO  
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041304

<b>UTILITY PATENT APPLICATION TRANSMITTAL</b> <small>(Only for new nonprovisional applications under 37 CFR 1.53(b))</small>	Attorney Docket No.	3802-088-27 CONT
	First Inventor or Application Identifier	DANIEL R. HENDERSON
	Title	TISSUE SPECIFIC ADENOVIRAL VECTORS

<b>APPLICATION ELEMENTS</b> See MPEP chapter 600 concerning utility patent application contents	<b>ADDRESS TO:</b> Assistant Commissioner for Patents Box Patent Application PO Box 1450 Alexandria, VA 22313-1450
1. <input type="checkbox"/> Fee Transmittal Form (e.g. PTO/SB/17) <small>(Submit an original and a duplicate for fee processing)</small> <input checked="" type="checkbox"/> Applicant claims small entity status.	<b>ACCOMPANYING DOCUMENTS</b>
2. <input checked="" type="checkbox"/> Specification Total Pages <b>127</b>	6. <input type="checkbox"/> Assignment Papers (cover sheet & document(s))
3. <input checked="" type="checkbox"/> Drawing(s) (35 U.S.C. 113) Total Sheets <b>44</b>	7. <input type="checkbox"/> 37 C.F.R. §3.73(b) Statement <input type="checkbox"/> Power of Attorney <small>(when there is an assignee)</small>
4. <input type="checkbox"/> Oath or Declaration Total Pages <input type="text"/>	8. <input type="checkbox"/> English Translation Document (if applicable)
a. <input type="checkbox"/> Newly executed (original or copy)	9. <input type="checkbox"/> Information Disclosure Statement (IDS)/PTO-1449 <input type="checkbox"/> Copies of IDS Citations
b. <input type="checkbox"/> Copy from a prior application (37 C.F.R. §1.63(d)) <small>(for continuation/divisional with box 16 completed)</small>	10. <input checked="" type="checkbox"/> Preliminary Amendment
5. <input type="checkbox"/> Incorporation By Reference (usable if box 4B is checked) <small>The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 4B, is considered to be part of the disclosure of the accompanying application and is hereby incorporated by reference therein.</small>	11. <input checked="" type="checkbox"/> White Advance Serial No. Postcard
16. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below: <input checked="" type="checkbox"/> Continuation <input type="checkbox"/> Divisional <input type="checkbox"/> Continuation-in-part (CIP) of prior application no.: 09/732,169 Prior application information: Examiner: Brian A. Whiteman Group Art Unit: 1635	12. <input type="checkbox"/> Certified Copy of Priority Document(s) <small>(if foreign priority is claimed)</small>
17. Amend the specification by inserting before the first line the sentence: <input checked="" type="checkbox"/> This application is a <input checked="" type="checkbox"/> Continuation <input type="checkbox"/> Division <input type="checkbox"/> Continuation-in-part (CIP) of application Serial No. 09/732,169, filed December 6, 2000, now U.S. Patent Application Publication No. 2002/0068049 A1, published June 6, 2002, which is a Continuation of U.S. Patent Application No. 09/151,376, filed September 10, 1998, now U.S. Patent No. 6,676,935, issued January 13, 2004, which is a Continuation-In-Part of U.S. Patent Application No. 08/669,753, filed June 26, 1996, now U.S. Patent No. 5,871,726, issued February 16, 1999, which is a Continuation-In-Part of U.S. Patent Application No. 08/495,034, filed June 27, 1995, now U.S. Patent No. 5,698,443, issued December 16, 1997.	13. <input type="checkbox"/> Request for Priority
<input type="checkbox"/> This application claims priority of provisional application Serial No. <input type="text"/> Filed <input type="text"/>	14. <input checked="" type="checkbox"/> List of Inventors' Names and Addresses
15. <input type="checkbox"/> Other: <input type="text"/>	
<b>18. CORRESPONDENCE ADDRESS</b> Supervisor, Patent Prosecution Services PIPER RUDNICK LLP 1200 Nineteenth Street, N.W. Washington, D.C. 20036-2412 Telephone No. (202) 861-3900 Facsimile No. (202) 223-2085	

Name	Steven B. Kelber	Registration No.	30,073
Signature		Date	4/13/04
Name	Sue Jensen, M.D.	Registration No.	55,775
		Telephone	202-861-3900



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<b>FEE TRANSMITTAL</b>						Docket No.		3802-088-27 CONT				
						Serial No.		New Application				
						Filing Date		Herewith				
						Inventor(s)		Daniel R. HENDERSON, et al.				
						Group Art Unit						
TOTAL AMOUNT OF PAYMENT				\$450.00		Examiner						
1. <input type="checkbox"/> Applicant claims small entity status. <input type="checkbox"/> Charge any <b>UNDERPAYMENT</b> or credit any <b>OVERPAYMENT</b> in the indicated fees to Deposit Account No. 50-1442. <input type="checkbox"/> Charge the indicated fees to Deposit Account No. 50-1442.						<b>FEE CALCULATION (continued)</b>						
						<b>3. ADDITIONAL FEES</b>						
						Large Entity		Small Entity		Fee Description		
2. <input type="checkbox"/> Check enclosed.						Fee Code	Fee (\$)	Fee Code	Fee (\$)		Fee Paid	
<b>FEE CALCULATION</b>						1051	130	2051	65	Surcharge-late filing fee or oath	\$65	
<b>1. BASIC FILING FEE</b>						1052	50	2052	25	Surcharge-late provisional filing fee or cover sheet		
Large Entity		Small Entity		Fee Description		1053	130	1053	130	Non-English Specification		
Fee Code	Fee (\$)	Fee Code	Fee (\$)			Fee Paid	1251	110	2251	55	1-mo. ext. of time	
1001	770	2001	385	Utility filing fee		\$385	1252	420	2252	210	2-mo. ext. of time	
1002	340	2002	170	Design filing fee			1253	950	2253	475	3-mo. ext. of time	
1003	520	2003	260	Plant filing fee			1254	1480	2254	740	4-mo. ext. of time	
1004	770	2004	385	Reissue filing fee			1255	2010	2255	1005	5-mo. ext. of time	
1005	160	2005	80	Provisional filing fee			1401	330	2401	165	Notice of Appeal	
<b>SUBTOTAL (1)</b>						\$385.00	1402	330	2402	165	Appeal Brief	
<b>2. EXTRA CLAIM FEES</b>						1403	290	2403	145	Request for Oral Hearing		
tot. claims		6	-	20*	= 0	x \$9	= 0	1501	1330	2501	665	Utility/Reissue Issue Fee
ind. claims		2	-	3*	= 0	x \$43	= 0	1502	480	2502	240	Design Issue Fee
<input type="checkbox"/> Multiple Dependent Claims						\$145	=	1504	300	1504	300	Publication Fee
Large Entity		Small Entity		Fee Description		8001	3	8001	3	Advance Copy of Patent		
Fee Code	Fee (\$)	Fee Code	Fee (\$)			1460	130	1460	130	Petitions to the Commissioner		
1202	18	2202	9	Claims in excess of 20		1806	180	1806	180	IDS Submission		
1201	86	2201	43	Independent claims in excess of 3		8021	40	8021	40	Assignment recordation		
1203	290	2203	145	Multiple dependent claim, if not paid		1801	770	2801	385	For Filing RCE		
1204	84	2204	43	*Reissue independent claims over original patent		1814	110	2814	55	Terminal Disclaimer		
1205	18	2205	9	*Reissue claims in excess of 20 and over original patent		OTHER (indicate below):						
<b>SUBTOTAL (2)</b>						\$0.00						
* or number previously paid, if greater; For Reissues, see above						<b>SUBTOTAL (3)</b>						\$65.00

Name		Steven B. Kelber		Registration No.		30,073	
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DOCKET NO. 3802-088-27 CONT

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

IN RE APPLICATION OF: Daniel R. HENDERSON, et al. ART UNIT:  
SERIAL NO.: New Application EXAMINER:  
FILING DATE: Herewith  
FOR: TISSUE SPECIFIC ADENOVIRAL VECTORS

**LIST OF INVENTORS' NAMES AND ADDRESSES**

ASSISTANT COMMISSIONER FOR PATENTS  
PO BOX 1450  
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SIR:

Listed below are the names and addresses of the inventors for the above-identified patent application.

- 1) Daniel R. Henderson  
Palo Alto, California
- 2) Eric R. Schuur  
Palo Alto, California

A declaration containing all the necessary information will be submitted at a later date.

Respectfully submitted,

PIPER RUDNICK LLP



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